

ADMINISTRATIVE POLICIES AND PROCEDURES

State of Tennessee Department of Correction

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Effective Date: May 1, 20	003			
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Approved by:

Subject: AIDS: EDUCATION, PREVENTION, AND CASE MANAGEMENT

- I. <u>AUTHORITY</u>: TCA 4-3-603, TCA 4-3-606, TCA 37-1-403, TCA 68-10-101; TCA 68-10-113 (6) (A), TCA 68-29-107, TCA 39-13-521, TCA 41-21-107, TCA 41-21-108.
- II. <u>PURPOSE</u>: To provide general guidelines for the prevention and clinical management of Human Immunodeficiency Virus (HIV) and Acquired Immune Deficiency Syndrome (AIDS) related illnesses within the TDOC.
- III. <u>APPLICATION</u>: To all Tennessee Department of Correction personnel, all inmates, and privately managed institutions.

IV. DEFINITIONS:

- A. <u>Acute</u>: Sudden and severe (primary disease).
- B. <u>Advanced-Stage HIV infection (AIDS)</u>: Marked by opportunistic infections and/or CD4 T-cell counts less than 200/mm3.
- C. CDC: Centers for Disease Control and Prevention.
- D. <u>CD4 Cells</u>: Also called T helper cells or lymphocyte cells, these cells work for the immune system by directing other cells to rid the body of infections. T cells are the primary target of HIV.
- E. Compromised: In a weakened state, unable to perform a usual function.
- F. <u>Disease Progression</u>: Worsening in the severity of HIV disease, often accompanied by the appearance of opportunistic infections and measured by lower T-cell counts and higher viral counts.
- G. <u>Deoxyribonucleic Acid (DNA)</u>: Material within cells that carries genetic information necessary for replication and creation (manufacture) of Ribonucleic Acid (RNA); the basic building block of life.
- H. <u>Early Stage</u>: An acute syndrome marked by flu-like symptoms that occurs in over 50% of HIV infected individuals anywhere from 3 to 6 weeks after initial, or primary, infection.
- I. <u>ELISA (Enzyme-Linked Immunosorbent Assay):</u> A type of enzyme immunoassay (EIA) to determine the presence of antibodies to HIV in the blood or oral fluids. Repeatedly reactive (i.e., two or more) ELISA test results should be validated with the Western Blot validation test.

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- J. <u>Immune System</u>: The body's defense mechanism against infections, includes the lymph system.
- K. <u>Infection</u>: The invasion by and multiplication of microscopic organisms (bacteria, fungi, viruses, parasites, etc.) in the body, which cause disease.
- L. <u>Intermediate Stage</u>: A period that can last for many years, during which HIV replicates in the lymph nodes; patients often remain asymptomatic during this stage.
- M. Latency: A period of inactivity (dormancy) during a disease process.
- N. <u>Lymphatic System</u>: Consists of lymph tissues, vessels, and nodes located throughout the body; lymph vessels carry harmful substances (including HIV) to the lymph nodes to be cleared from the body.
- O. <u>Lymphocyte</u>: A white blood cell; these cells do many things to help the immune system clear infections from the body (T cells are a type of lymphocyte).
- P. <u>Macrophage</u>: A large cell of the immune system that helps clear infections from the body.
- Q. <u>Opportunistic Infections</u>: Any number of potential infections, including PCP (Pneumocystis carinii pneumonia) and thrush (Candida), that cause disease when a weakened immune system provides the opportunity. These infections most often occur during advanced-stage HIV disease.
- R. OSHA: Occupational Safety and Health Administration.
- S. <u>Replication</u>: The act of making copies or reproducing.
- T. <u>Retrovirus</u>: A type of virus that contains the genetic material RNA which must be converted to DNA in order to replicate and survive. HIV is a retrovirus.
- U. <u>Ribonucleic Acid (RNA)</u>: Genetic material within cells and organisms such as HIV; necessary for transmission of genetic information for replication and function.
- V. <u>Sexually Transmitted Diseases (STD)</u>: Infections spread by the transfer of organisms from person to person during sexual contact. There are more than 20 organisms and syndromes now recognized as belonging in this category.
- W. <u>TDH</u>: Tennessee Department of Health
- X. <u>Viral Load</u>: The amount of HIV present in the blood. This is most frequently described in terms of the number of copies of HIV in the blood, as determined through measurement of HIV RNA.

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- Y. <u>Virus</u>: Microscopic organism that must infect another cell to survive and reproduce.
- Z. <u>Western Blot</u>: A laboratory test for specific antibodies to confirm repeatedly reactive results on the HIV ELISA or EIA tests. In the United States, Western Blot is the validation test used most often for confirmation of these other tests.
- V. <u>POLICY</u>: The TDOC shall provide a comprehensive HIV/AIDS education and prevention program for inmates and employees to influence behavior and prevent transmission of HIV infection. Inmates shall be screened and tested; supportive counseling will be provided to those diagnosed with HIV infection; treatment modalities will be provided to preserve their immune system and delay onset of symptoms, opportunistic infections, and disease; the clinical management will also include emotional and spiritual supportive care to the terminally ill inmate, enabling them to make informed decisions about their care.

VI. PROCEDURES:

- A. HIV Screening/Testing:
 - 1. <u>Mandatory HIV Screening/Testing</u>: All inmates less than 21 years of age at the time of initial admission/intake into the system shall be HIV tested, with or without consent, unless the inmate has been tested pursuant to TCA 39-13-521 and the results are available and verifiable.
 - 2. <u>Intake HIV Screening/Testing</u>: All inmates age 21 and older shall be voluntarily tested on an individual basis upon initial admission/intake. (See Policy 113.20.).
 - 3. <u>Post-classification HIV Testing</u>: An inmate shall be HIV tested, with consent, whenever the institutional attending physician determines that the inmate is suspect for HIV infection based on HIV risk status and/or presenting clinical indications, or if an inmate reports HIV risk behavior(s) and requests to be tested.
 - 4. Voluntary testing shall be performed only following the pre-test counseling and the execution of a document indicating informed consent. Human Immunodeficiency Virus (HIV) Test Informed Consent, CR-3247, shall be signed by the consenting inmate, and witnessed by the health care provider.
 - 5. Risk Indications for HIV Testing.
 - a. History of blood transfusion between 1978 and 1985.
 - b. IV drug use.
 - c. Men who have, or have had, sex with men.
 - d. History of, or diagnosed sexually transmitted disease(s) (STDs), including Hepatitis B.

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- e. History of unprotected sex with multiple partners or prostitutes.
- f. Recent or previous sexual assault victim or a sexual offender.
- g. Positive Tuberculin skin test, current or previous.
- h. The source of recent exposure or having been recently exposed to blood or body fluids as defined in the Blood-borne Pathogen Exposure Plan.
- i. Physical signs such as anal trauma, needle marks, and recent scabbing tattoos are also considered HIV infection indicators. The health care provider should be observant during physical examination or periodic appraisals.
- 5. In addition to the above HIV infection testing indicators, pregnant inmates shall be HIV tested due to the potential of prenatal transmission to the infant. Pregnant, HIV infected inmates often require additional health support services due to the medical and psycho-social problems associated with HIV infection in pregnant women. (See Policy #113.90.).
- 6. The HIV testing methodology shall include ELISA and a confirmatory Western Blot when ELISA results are positive.
- 7. If an HIV test done based on risk status or clinical indications is negative, testing with consent should be repeated at one (1) and three (3) months, with additional testing if ordered by the physician.

B. Pre-Test Counseling:

Prior to receiving HIV testing, all TDOC inmates shall have pre-test counseling. Individual, confidential, pre-test counseling/education shall be provided by a licensed health care provider knowledgeable about HIV/AIDS. Current information from the CDC or TDH may be used by the counselor to answer any questions or correct any misconceptions the inmate may have. The counselor should determine the reason for the test as well as the inmate's level of understanding about HIV, assure confidentiality, advise that the results will only be given in person (possibly by a different health staff person) and document the counseling by completing the HIV Test Informed Consent, CR-3247.

C. Post-Test Counseling

Regardless of the test results, post-test counseling by a licensed health care provider shall be confidentially given to the patient. The test result information shall be given in a manner and at a level that is understandable to the patient with time allowed for any questions the patient may have. It is important to remember that HIV positive patients need time to accept that they have been infected by the virus; for those with indeterminate results, counseling should include the need for follow-up testing (give opportunity to

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give information on how to remain HIV negative. Post – test counseling for HIV negative test shall be documented in the patient's health record on the Problem Oriented Progress Record, CR-1884.

D. HIV/AIDS Confidential Case Reporting

- 1. All AIDS cases and HIV confirmatory laboratory positive test results shall be reported to the TDH as required by amendment of Rule 1200-41-1.41 of the TDH's regulations governing communicable diseases in Tennessee.
- 2. All AIDS cases and HIV confirmatory laboratory positive results shall be reported to the TDH HIV/AIDS Surveillance Representative in the institution's regional area by means of the current Adult HIV/AIDS Confidential Case Report, PH-3273.
 - a. The institutional primary care physician is responsible for completion of the Public Health Adult HIV/AIDS Confidential Case Report for all HIV confirmed positive test results and all confirmed AIDS cases.
 - b. The Institutional Infection Control Coordinator or registered nurse designee shall be responsible for forwarding all reports completed by the physician to the regional TDH surveillance representative. Reports will be completed within seven (7) calendar days of receipt of a positive report.
 - c. The HIV/AIDS surveillance representatives may be contacted for forms and/or other information, e.g., current HIV patient education material. Institutional procedure shall list TDH HIV/AIDS program/surveillance contact person(s) with telephone numbers and addresses.
 - d. All HIV testing and results, including negative as well as positive results, shall be entered confidentially by the Institutional Infection Control Coordinator, or designee, into TOMIS conversation LOEL, using the confidential codes designated by the Director of Health Services. Information to be entered includes the inmate number, test date, test results, and reason for the test. If the diagnostic status changes from HIV positive to AIDS (conversion), enter conversion date. Questions regarding the method of reporting HIV test results or the confidential codes should be directed to the central office Health Services Division.

E. Confidentiality of Health Information

- 1. All medical or other information relative to HIV/AIDS testing, counseling, infection, or illness shall be maintained confidentially, and documented in the inmate health record as specified by Policy #113.50, Health Records.
- 2. Access to any inmate information relative to HIV/AIDS testing, counseling, infection, or illness shall be controlled by the health authority to preserve the confidentiality of the information contained in the inmate health record as directed in Departmental Policy #113.52, Confidentiality/Release of Health Information.

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- 3. HIV/AIDS testing, infection, or illness information regarding an inmate may only be released to a qualified professional or agency representing the inmate after written authorization by the inmate as defined by TDOC Policy #113.52 VI.(D), Confidentiality/Release of Health Information.
- 4. Information regarding an inmate's HIV status shall not be made available or accessible to non-health care staff either in written correspondence, by computer transmission, or otherwise, with the following exceptions:
 - a. TCA 39-13-521, requires mandatory testing of sex offenders. If a court order is received pursuant to TCA 39-13-521 by an institution, the health staff shall first determine if the inmate's HIV status is known and report it confidentially to the party named in the court order. If the inmate's HIV status is unknown, the staff shall immediately test the inmate for HIV and report the information to the party named.
 - b. TCA 41-51-102 requires that if an employee, contract employee, or visitor has an exposure incident with an inmate, the employee, contract employee, or visitor shall be informed of the HIV status of that inmate. If the HIV status is unknown, that inmate shall be tested with or without consent, and the exposed party shall be confidentially informed of the inmate's HIV status. (See Policy # 113.13.). Privately managed facilities shall develop procedures to notify exposed employees.
- 5. Any document ordering production or release of HIV or sexually transmitted disease information must meet four criteria in order to be valid.
 - a. It must be from an appropriate court. The provisions of TCA 68-10-113 (6)(A) only allow certain courts to order the production or release of STD records. These courts are circuit, chancery, law and equity, juvenile and criminal courts. They do <u>not</u> include appellate, city, municipal, general sessions, or trial justice courts.
 - b. The document must be titled "court order" and not "subpoena".
 - c. The court order must be signed by the judge of that appropriate court. Due to the confidentiality requirements of this new STD law, ALWAYS call the TDOC Legal Services division when you receive a subpoena, release, or court order in which any STD records are involved. The legal staff will determine whether the court order meets the requirements of TCA 68-10-113(6)(A).

F. HIV/AIDS Education and Prevention

1. The institutional health administrator, in coordination with the warden, shall develop and implement an HIV/AIDS education and prevention program for the employees and inmates. Information for the program shall be derived from the,

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CDC, OSHA, TDH National Institute of Justice, World Health Organization, or other federal/state sponsored educational or treatment agencies.

- 2. Employees: All institutional TDOC employees shall participate in one (1) hour of initial orientation training and one (1) hour of update training every other year thereafter. Employees are subject to more frequent training requirements as deemed appropriate by the individual having program responsibility.
 - a. Documentation shall be completed in accordance with Policy #110.04 and should include the course title, name(s) of the instructor, date and time the class was conducted, and any training aids used.
 - b. Privately managed institutions shall provide documentation in accordance with corporate policy.
- 3. Inmates: All inmates shall receive HIV/AIDS education on entry at the classification centers, during their prison term at least annually, and in pre-release programs. Information shall be made available in a language and form the inmates can understand with written material appropriate for the educational level of the inmate population.
 - a. All inmate educational presentations shall be documented to include the course title, name(s) of instructor(s), date and time the class was conducted, and any training aids used (name of video, handouts, etc.).
 - b. Documentation of group education shall include the inmate participants' names and TDOC numbers on the Health Education Roster, CR-3013. (See Policy #113.40). Closed circuit TV presentations are exempt from the requirement.
 - c. HIV/AIDS literature shall be available during routine sick call.
 - d. All announcements/notices scheduled for HIV/AIDS education and Health Education Rosters shall be maintained by the institutional health administrator for three (3) fiscal years.

G. Housing

- Inmates who are known to be diagnosed as HIV/AIDS shall be housed in the
 institutional general population, based on the individual's health needs and the
 available placement resources, with infirmary care or DeBerry Special Needs
 Facility placement when medically necessary. Female inmates requiring infirmary
 placement or higher levels of care shall be housed at the Tennessee Prison for
 Women.
- 2. TDOC Policy #113.34 shall be followed for inmates requiring extended patient services.

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H. HIV/AIDS Management

- 1. Information and recommendations for diagnosing, treating, and monitoring HIV/AIDS can be obtained from the CDC, TDH, other federal/state sponsored treatment agencies, and/or appropriately credentialed infectious disease specialists.
- 2. The primary care physician is responsible for developing the patient's treatment plan and monitoring uncomplicated cases. The primary care physician shall refer all newly diagnosed cases, complicated cases, and treatment resistance cases to the infectious disease specialist.
- 3. The Treatment Plan shall include:
 - a. Frequency of clinic visits.
 - b. Type(s) and frequency of laboratory and other diagnostic tests.
 - c. If patient on medication, how to do medication administration (dose-by-dose or Keep-on-Person).
 - d. How to handle medication side effects or other illnesses.
 - e. Education/counseling based on individual treatment needs of the patient.
 - f. Other identified pertinent information about the individual patient.
- 4. Documentation in the patient's health record must reflect the counseling/teaching as well as clinical monitoring, diagnostic reports, medication adherence, and progress (or lack of) toward treatment goals as identified on the Treatment Plan, with changes made as indicated.
- 5. Efforts should be made to work with the patient's daily schedule to assist the patient with adherence to his/her treatment regimen.

I. Pre-Release Discharge Planning

- 1. The institutional health administrator shall be responsible for ensuring that discharge planning is completed for all HIV/AIDS patients prior to their release to the community.
- 2. Release of medical information shall be voluntarily obtained from the patient (or conservator) prior to initiating community agency referrals or contacts regarding an individual patient. (Reference TDOC Policy #113.52).
- 3. The national telephone number of HEARTLINE, 1-800-845-4266, can be called twenty-four hours a day for statewide HIV/AIDS referral sources.
- 4. Patients currently on medication regimens shall be provided at least a fourteen (14) day supply, or their current supply of prescribed medication, whichever is greater. (Reference TDOC Policy #113.70). Verbal and written medication instructions shall be provided for each medication.

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- 5. All elements of the discharge planning, including patient education, medication teaching, and any appointment dates, shall be documented in the patient's health record.
- VII. <u>ACA STANDARDS</u>: 3-4355, 3-4360, 3-4363, 3-4365, 3-4366, 3-4372, 3-4377.
- VIII. <u>EXPIRATION DATE</u>: May 1, 2006.



TENNESSEE DEPARTMENT OF CORRECTION

HUMAN IMMUNODEFICIENCY VIRUS (HIV) TEST INFORMED CONSENT

	INSTITUTION			DATE
NAME:	First	NUMBER		DOB
Last	i iist	Middle		
I read the patient HIV educ	cation materials provide	d by:	rofessional Title of F	lealth Care Provider
regarding the Human Im	munodeficiency Virus (ully explained to me and my
-	•	·		
risk of acquiring HIV.	vered to my satisfaction	, and, i also understand co	unsening is availab	le to assist me in reducing my
_	_			released without my written annot be fully guaranteed.
I also understand that if I a	ım found to be infected,	this test does not predict if	I will become ill wi	th AIDS.
I hereby, authorize and co	nsent to be tested for th	e presence of the Human	Immunodeficiency	Virus. I am fully informed tha
I am free and able to revok	ke this consent at any ti	me prior to testing,		
	Patient Signature			Date
Parent or Legal	Guardian Signature (A	s Applicable)		Date
	Witness Signature			Date
	·	and I have re	_	deficiency Virus (HIV) with e implications of the HIV
`	cable, Parent or Legal Gua			
test results as it pertains	to: health care;	confidentiality ot	her, specify	
Legal Signature/P	rofessional Title of Healtl	n Care Provider		Date

CR-3247 (Rev. 7-01) RDA 1458



TENNESSEE DEPARTMENT OF CORRECTION

HEALTH EDUCATION ROSTER

Course Title:		Institution:		
Course Contents:				
Instructor:		Date:		
Name	Number	Name		Number
		I		



TENNESSEE DEPARTMENT OF CORRECTION

PROBLEM ORIENTED - PROGRESS RECORD

INSTITUTION

INMATE NAME:			INMATE NUMBER:
DATE	TIME/PLACE	PROB NO.	
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Do Not Write on Back